

Topology 1  
Lecture 5

Jan 21.

"Opposite" the the notion of density is

Def: A set  $A \subset X$  is nowhere dense in  $X$  if  $\text{int}(\bar{A}) = \emptyset$ .

Example: Any singleton  $\{x\} \subseteq \mathbb{R}$  is nowhere dense. The integers  $\mathbb{Z} \subseteq \mathbb{R}$  are also nowhere dense, since  $\bar{\mathbb{Z}} = \mathbb{Z}$  and  $\text{int}(\bar{\mathbb{Z}}) = \emptyset$  (because  $\mathbb{Z}$  contains no open sets).

Example: If  $\mathbb{R}$  has the cofinite topology, then every finite set is nowhere dense. Why?

If  $F \subseteq \mathbb{R}$  is finite, then it is closed, so  $\bar{F} = F$ . Then  $\bar{F}$  contains no open sets since its complement is infinite, therefore  $\text{int}(\bar{F}) = \emptyset$ .

Def: A subset  $A \subset X$  is of the first category if it is a countable union of nowhere dense subsets.

If  $A$  is not first category, then it is second category.

Example:  $\mathbb{Q} \subseteq \mathbb{R}$  is first category, because  $\mathbb{Q} = \bigcup_{q \in \mathbb{Q}} \{q\}$  is a countable union. More bluntly, any countable subset of  $\mathbb{R}$  is first category.

Question: Is  $\mathbb{R}$  first or second category? (in itself)

Ans: It is second category.

Remark: First category sets are sometimes called "meagre" and second category "abundant"

## Theorem (Baire Category Theorem).

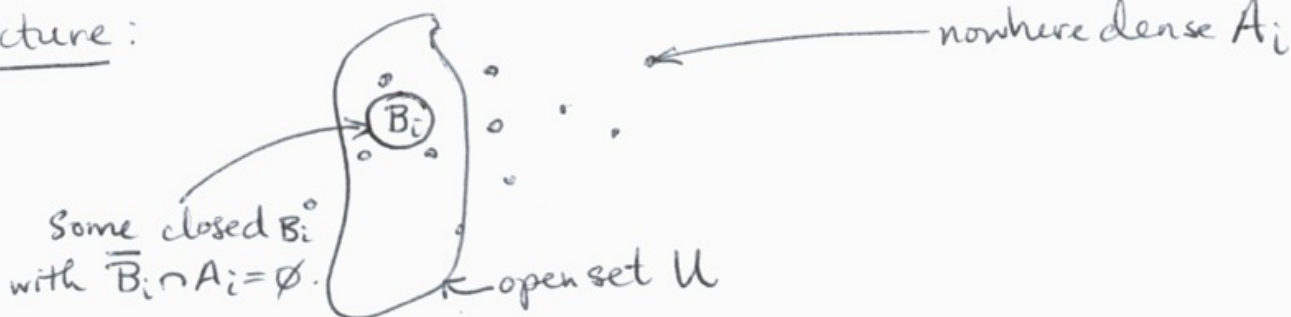
Every complete metric space is of the second category.

Proof: Let  $X$  be a complete metric space, for contradiction. Suppose  $X$  is first category - i.e. suppose  $X = \bigcup_{i=1}^{\infty} A_i$ , where each  $A_i$  is nowhere dense.

To apply completeness we construct a Cauchy sequence, as follows.

Given  $U \subset X$  open, for each  $A_i$  there is an open ball  $B_i$  with  $\overline{B_i} \cap A_i = \emptyset$ . (Exercise).

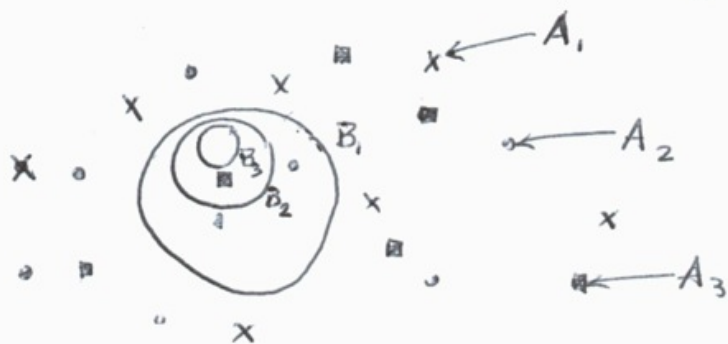
Picture:



However, we choose the  $B_i$ 's a little more carefully than this.

- For  $B_1$ , choose any ball so that  $\overline{B_1} \cap A_1 = \emptyset$ .
- Choose  $B_2 \subset B_1$  of radius  $< \frac{1}{2}$ , such that  $\overline{B_2} \cap A_2 = \emptyset$ .
- In general, choose  $B_n \subset B_{n-1}$  of radius  $< \frac{1}{n}$ , such that  $\overline{B_n} \cap A_n = \emptyset$ .

Picture:

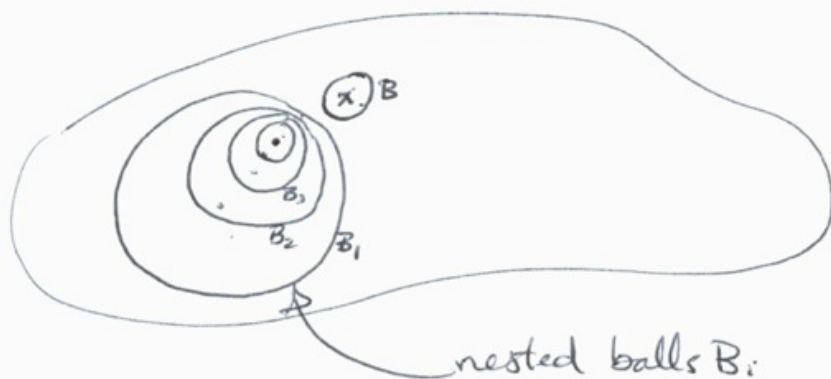


Let  $x_n$  denote the centre of  $B_n$ . Then  $\{x_n\}$  is Cauchy, since  $\{x_{n+1}, x_{n+2}, x_{n+3}, \dots\} \subset B_n$ , a ball of radius  $\frac{1}{n}$ . Thus  $x_n$  converges ( $X$  is complete), to  $x_n \rightarrow x$ , say.

Observe that  $x \in \overline{B_n} \forall n$ , because if not, say  $x \notin \overline{B_n}$ , then  $\exists m$  such that  $x \notin \overline{B_m} \forall m > n$ .

But then  $(\overline{B_n})^c$  is open, so there's an open ball  $B$  with  $x \in B \subset (\overline{B_n})^c$ , contradicting the fact that  $x_n \rightarrow x$ . (Because  $x_m \in B \forall m \geq n$ ).

Picture:



So  $x \in \overline{B_n} \forall n$ . But this means  $x \notin A_n$  for all  $n$ , since  $\overline{B_n} \cap A_n = \emptyset$ . A contradiction to the fact that  $x \in X$ , and  $X = \bigcup_{i=1}^{\infty} A_i$ .

Example: The set  $\mathbb{R} \setminus \mathbb{Q}$  (irrationals) is second category. Why?

Since  $\mathbb{Q}$  is first category (ie  $\mathbb{Q} = \bigcup_{q \in \mathbb{Q}} \{q\}$ ),  
 $\mathbb{R} \setminus \mathbb{Q} = \bigcup_{i=1}^{\infty} A_i$ ,  $A_i$  nowhere dense, then

$\mathbb{R} = \bigcup_{i=1}^{\infty} A_i \cup \bigcup_{q \in \mathbb{Q}} \{q\}$  would be first category, but it is not By Baire.

Example: Let  $(X, d)$  be a complete metric space without isolated points, i.e. no singleton  $\{x\}$  is open. Then  $X$  is uncountable.

Proof: Since we are in a metric space, each  $\{x_i\}$  is closed. Therefore  $\overline{\{x_i\}} = \{x_i\}$ . Since no singleton is open,  $\text{int}(\overline{\{x_i\}}) = \text{int}(\{x_i\}) = \emptyset$  for all  $i$ . Thus  $\{x_i\}$  is nowhere dense.

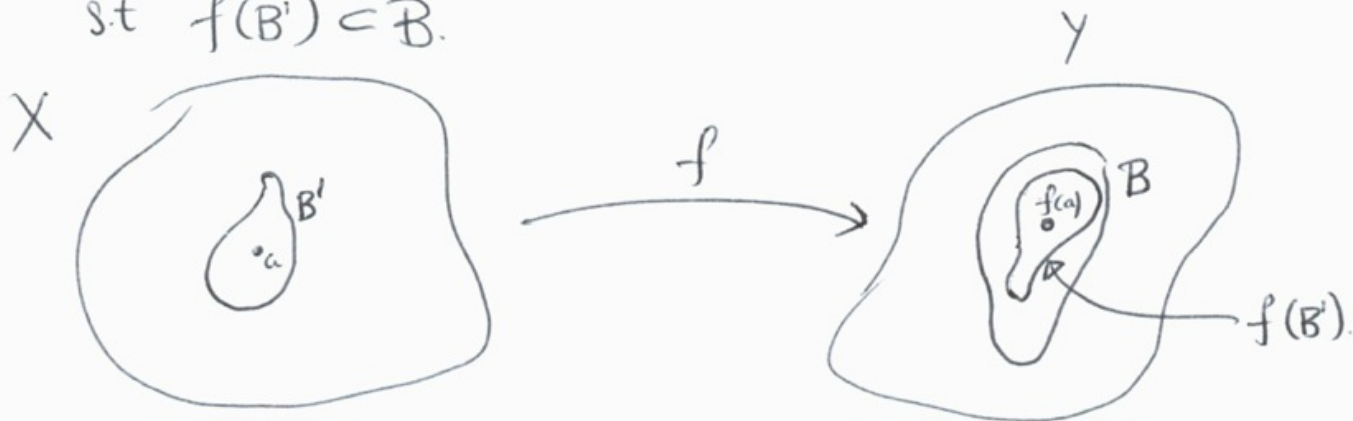
But  $X = \bigcup_{i=1}^{\infty} \{x_i\}$ , contradicting Baire's theorem.

### § 3.5 Continuous mappings

In analysis, you define continuous at a point first, then say something about global continuity. We'll do the same.

Def: Let  $X$  and  $Y$  be topological spaces.

A mapping  $f: X \rightarrow Y$  is continuous at  $a \in X$  if  $\exists$  local bases  $\mathcal{B}_a$  and  $\mathcal{B}_{f(a)}$  of  $a$  and  $f(a)$  respectively, such that  $\forall B \in \mathcal{B}_{f(a)} \exists B' \in \mathcal{B}_a$  s.t.  $f(B') \subset B$ .



Think in terms of balls in metric spaces:

$f: \mathbb{R} \rightarrow \mathbb{R}$  is cts at  $x=a$  if  $\forall B(f(a), \epsilon)$   
 $\exists B(a, \delta)$  st.  $f(B(a, \delta)) \subset B(f(a), \epsilon)$ .

Proposition 1: A mapping  $f: X \rightarrow Y$  is continuous at  $a \in X$  iff for every open neighbourhood  $V$  of  $f(a)$   $\exists$  a nbhd  $U$  of  $a$  such that  $f(U) \subset V$ .

Proof: ( $\Rightarrow$ ) Suppose  $f$  cts at  $a$ , and let  $\mathcal{B}_a, \mathcal{B}_{f(a)}$  be local bases as in the definition. Let  $V$  be an open nbhd of  $f(a)$ , choose  $B_{f(a)} \subset V$  by def of a local basis. Since  $f$  cts,  $\exists B_a \in \mathcal{B}_a$  st.  $f(B_a) \subset B_{f(a)} \subset V$ . Take  $U = B_a$ .

( $\Leftarrow$ ). Take  $\mathcal{B}_a = \{\text{all open nbhds of } a\}$   
 $\mathcal{B}_{f(a)} = \{\text{all open nbhds of } f(a)\}$ ,  
and it follows that  $f$  is cts.

We say  $f$  is continuous if it is cts at every  $a \in X$ .

Proposition: Let  $X$  and  $Y$  be two spaces and  $f: X \rightarrow Y$  a mapping. The following are equivalent:

- $f: X \rightarrow Y$  is continuous.
- If  $W \subset Y$  is open, then  $f^{-1}(W)$  is open in  $X$ .  
(in  $Y$ )
- For every basis  $\mathcal{B}_Y$  of  $Y$ , and every  $B \in \mathcal{B}_Y$ , the set  $f^{-1}(B)$  is open.

Remark: We mostly use (b) as the definition of continuity.

Proof: We do  $(a) \Rightarrow (b) \Rightarrow (c)$ .

$(a) \Rightarrow (b)$

If  $f$  is continuous and  $U \subset Y$  is open, then every  $a \in f^{-1}(U)$  has a nbhd  $V_a$  s.t.  $f(V_a) \subset U$ . I.e.  $V_a \subset f^{-1}(U)$ .

But then  $f^{-1}(U) = \bigcup_{a \in f^{-1}(U)} V_a$ , so it's open.

$(b) \Rightarrow (c)$  Is true since basis sets are open sets.

$(c) \Rightarrow (a)$ . Assume  $\mathcal{B}_Y$  is a basis so that every  $B \in \mathcal{B}_Y$  satisfies  $f^{-1}(B)$  is open.

Set  $\mathcal{B}_{f(a)} = \{B \in \mathcal{B}_Y \mid f(a) \in B\}$ , a local basis at  $f(a)$ .

Let  $V$  be an open nbhd of  $f(a)$ , then there exists  $B$  s.t.  $f(a) \in B \subset V$ . Then  $f^{-1}(B)$  is an open nbhd of  $a$  s.t.  $f(f^{-1}(B)) \subset V$ , so by previous (proposition 1)  $f$  is cts.

Proposition: A map  $f: X \rightarrow Y$  is continuous

if and only if inverse images of closed sets are closed.

Topology I  
Lecture 6.

January 23

Proposition: Suppose that  $X$  is first countable and  $Y$  is any space. A map  $f: X \rightarrow Y$  is continuous at  $a \in X$  iff for every sequence  $\{x_n\}$  converging to  $a$  the sequence  $\{f(x_n)\}$  converges to  $f(a)$ .

Proof: ( $\Rightarrow$ ) Suppose that  $x_n \rightarrow a$  and  $f$  is cts.

Let  $V \subset Y$  be any nbhd of  $f(a)$ . Since  $f$  is cts at  $a$   $\exists U$  with  $a \in U$  s.t.  $f(U) \subset V$ .

Since  $x_n \rightarrow a$ ,  $U$  contains  $x_m \forall m \geq N$  (some  $N$ ).

But then  $f(x_m) \in f(U) \subset V \forall m \geq N$ , so

$f(x_n) \rightarrow f(a)$ . (Note didn't use  $X$  first countable).

( $\Leftarrow$ ).

Suppose that for every  $\{x_n\}$  converging to  $a$  in  $X$ ,  $\{f(x_n)\}$  converges to  $f(a)$  in  $Y$ .

Let  $V$  be a nbhd of  $f(a)$ , we find  $U$  s.t.  $f(U) \subset V$ . We will do this by showing that  $a \in \text{int}(f^{-1}(V))$ .

Suppose  $a$  is not an interior point, and let

$\mathcal{B}_a = \{B_i \mid i \in \mathbb{Z}^+\}$  be a countable local basis at  $a$ .

The set  $\bigcap_{i=1}^n B_i$  is open and contains a  $\forall n \in \mathbb{Z}^+$ .

Since  $a$  is not an interior point of  $f^{-1}(V)$ ,

~~typo?~~  $(\bigcap_{i=1}^n B_i) \cap (f^{-1}(V))^c \neq \emptyset$ , if it were empty then  $a \in \bigcap_{i=1}^n B_i \subset f^{-1}(V)$

and  $a$  is interior. So, choose  $x_n \in (\bigcap_{i=1}^n B_i) \cap f^{-1}(V)$  for each  $i$ , which defines a sequence  $\{x_n\}$  with  $x_n \rightarrow x$  by construction.

By assumption, then  $f(x_n) \rightarrow f(a)$ , by construction all  $f(x_n)$ 's are outside of  $V$ . Contradiction.

Example: (First countability is necessary).

Give  $\mathbb{R}$  the co-countable topology, ie

$$\mathcal{T} = \{U \subseteq \mathbb{R} \mid U^c \text{ is countable}\}.$$

Exercise: With this topology,  $\mathbb{R}$  is not first countable.

A sequence  $\{x_n\}$  in  $\mathbb{R}$  converges in this topology if and only if it is eventually constant;

$$\text{ie } \exists N \text{ s.t. } x_N = x_{N+1} = x_{N+2} = \dots = x_{N+k} = \dots \quad \forall k > 1.$$

In this case  $x_n$  converges to  $x_N$ .

Give the integers the cofinite topology and

define  $f: \mathbb{R} \rightarrow \mathbb{Z}$  to be the floor function,

$$x \longmapsto \lfloor x \rfloor = \text{the greatest integer less than } x.$$



Now observe:

If  $x_n \rightarrow x$  in  $\mathbb{R}$ , then it is eventually constant, i.e.  $x_m = x_n = x \forall m \geq n$ .

Then  $\{f(x_n)\}$  is also eventually constant, since  $f(x_m) = f(x_n) \forall m \geq n$ . Therefore  $f(x_n) \rightarrow f(x_n) = f(x)$ .

On the other hand,  $f$  is not continuous:

The open set  $V = \mathbb{Z} \setminus \{0\}$  has preimage

$f^{-1}(V) = \mathbb{R} \setminus [0, 1)$ , a set with uncountable complement. Therefore  $f^{-1}(V)$  is not open.

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Def: A map  $f: X \rightarrow Y$  is open if for every open  $U \subseteq X$ , the set  $f(U)$  is open. A map  $f: X \rightarrow Y$  is closed if for every closed subset  $F \subseteq X$ ,  $f(F)$  is closed.

Rmk: If  $f: X \rightarrow Y$  is bijective, then

open  $\Rightarrow f^{-1}$  continuous

closed  $\Rightarrow f^{-1}$  continuous.

i.e. For bijections,  $f$  is open  $\Leftrightarrow f$  is closed.

Definition: A map  $f: X \rightarrow Y$  is a homeomorphism if it is an open, continuous bijection (i.e.  $f$  and  $f^{-1}$  are continuous).

If  $\exists$  a homeomorphism  $f: X \rightarrow Y$ , we say  $X$  and  $Y$  are homeomorphic and write  $X \cong Y$ .  
(Homeomorphisms are the isomorphisms of topology).

Properties preserved by homeomorphisms are called topological properties.

Example:  $f: (0, \infty) \rightarrow \mathbb{R}$  given by  $f(x) = \ln(x)$  is a homeomorphism,

Example: Let  $\varphi: \mathbb{Z} \times \mathbb{Z} \xrightarrow{\text{bijection}} \mathbb{Z}^+$  be any enumeration of  $\mathbb{Z} \times \mathbb{Z}$ , such a map  $\varphi$  exists since  $\mathbb{Z} \times \mathbb{Z}$  is countable. Give both  $\mathbb{Z} \times \mathbb{Z}$  and  $\mathbb{Z}^+$  the cofinite topology. Then because  $\varphi$  is a bijection,

$U \subset \mathbb{Z} \times \mathbb{Z}$  has finite complement  $\Leftrightarrow \varphi(U)$  has finite complement.

ie  $U$  open  $\Leftrightarrow \varphi(U)$  is open, thus

$\mathbb{Z} \times \mathbb{Z}$  and  $\mathbb{Z}^+$  are homeomorphic.

More generally, if  $X$  and  $Y$  have the cofinite topology, then  $X \cong Y$  iff there exists a bijection  $\varphi: X \rightarrow Y$ .

Example: If two spaces have different cardinality, they cannot be homeomorphic.

Some examples of topological properties:

Proposition: Let  $f: X \rightarrow Y$  be a homeomorphism. Then

(i) If  $X$  is first countable, so is  $Y$ .

(ii) If  $X$  is second countable, so is  $Y$ .

Proof: (i) Will be left as an exercise (maybe next airt).

(ii) If  $X$  is second countable, then there's a countable basis  $\mathcal{B}_X$ . Set  $\mathcal{B}_Y = \{f(B) \mid B \in \mathcal{B}_X\}$ , we'll show  $\mathcal{B}_Y$  is a basis for  $Y$  (it is certainly countable), since  $f$  is a homeomorphism  $\mathcal{B}_Y$  consists of open sets.

Let  $V \subset Y$  be open, ~~Then  $f^{-1}(V)$  is open, so  $\exists B \in \mathcal{B}_X$  st~~ and let  $y \in Y$  be given. Then  $f^{-1}(V)$  is open and contains the point  $f^{-1}(y)$ . Since  $\mathcal{B}_X$  is a basis  $\exists B \in \mathcal{B}_X$  st.  $f^{-1}(y) \in B \subset f^{-1}(V)$ . But then  $f(B) \in \mathcal{B}_Y$  satisfies  $y \in f(B) \subset V$ , so  $\mathcal{B}_Y$  is a basis.

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Some properties of subsets can also be preserved:

Proposition: If  $f: X \rightarrow Y$  is a homeomorphism and

$A \subset X$ , then:

(i)  $f(\text{int}(A)) = \text{int}(f(A))$

(ii)  $f(\bar{A}) = \overline{f(A)}$

(iii)  $f(\partial A) = \partial(f(A))$

(iv)  $f(A') = (f(A))'$

Proof:

We'll prove only one of them (proofs like this can be long).

Proof of (i).

Let  $x \in f(\text{int}(A))$ . Then  $f^{-1}(x) \in \text{int}(A)$ , so  $\exists U$  open in  $X$  s.t.  $f^{-1}(x) \in U \subset A$ . But then applying  $f$  gives  $x \in f(U) \subset f(A)$ , where  $f(U)$  is open since  $f$  is a homeo. Thus  $x \in \text{int}(f(A))$ . So  $f(\text{int}(A)) \subset \text{int}(f(A))$ .

On the other hand suppose  $x \in \text{int}(f(A))$ . Then  $\exists V$  open s.t.  $x \in V \subset f(A)$ . So  $f^{-1}(x) \in f^{-1}(V) \subset A$ , where  $f^{-1}(V)$  is open since  $f$  is a homeomorphism. Thus  $f^{-1}(x) \in \text{int}(A)$ , so  $x \in f(\text{int}(A))$ . Thus  $\text{int}(f(A)) \subset f(\text{int}(A))$ .  
Therefore  $\text{int}(f(A)) = f(\text{int}(A))$ .